

UNIVERSITY OF  
Southampton

# Proxies and Caching

COMP3220 Web Infrastructure

Dr Nicholas Gibbins – [nmg@ecs.soton.ac.uk](mailto:nmg@ecs.soton.ac.uk)

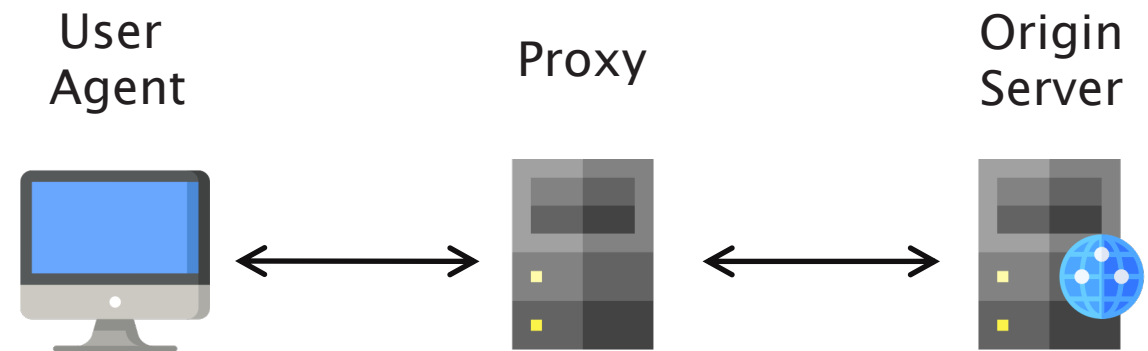
# Proxies

Intermediary that acts as server and client

- Receives request from user agent and forwards to origin server
- Returns response to user agent
- Can be chained in sequence

Multiple uses:

- Filtering
- Caching
- Content routing
- Anonymising
- Access control
- Transcoding



# Proxies and HTTP

When sending requests via a proxy, user agent replaces the path in the request line with the full URI

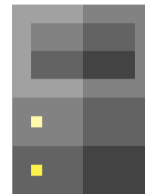
Proxies add `Via:` headers to all messages they send

- HTTP version, hostname of proxy
- Append to existing header if chaining proxies





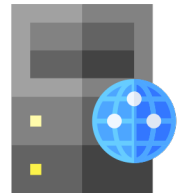
GET http://example.org/ HTTP/1.1  
Host: example.org



GET / HTTP/1.1  
Host: example.org  
Via: HTTP/1.1 proxy.net

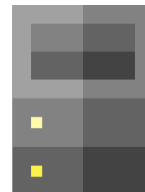
HTTP/1.1 200 OK

...



HTTP/1.1 200 OK  
Via: HTTP/1.1 proxy.net

...



# Tunnels

But what about HTTPS?

- TLS guarantees end-to-end encryption of both message body and headers
- Proxies can't understand the request

CONNECT method establishes a tunnel to the destination origin server

- Proxy will forward all subsequent packets in both directions



```
CONNECT example.org:443 HTTP/1.1  
Host: example.org:443
```

# Proxy Autoconfiguration

proxy.pac file containing sandboxed JavaScript defining FindProxyForURL()

- Configured in browser (specify URI of proxy.pac)
- Configured via DHCP (option 252, containing URI of proxy.pac)
- Configured via DNS (looks for host named wpad, requests wpad.dat)
- Note: common practice, not a (formal) standard

```
function FindProxyForURL(url, host) {  
    // ...  
}
```

Return values:

- DIRECT
- PROXY *host:port*

# Caching

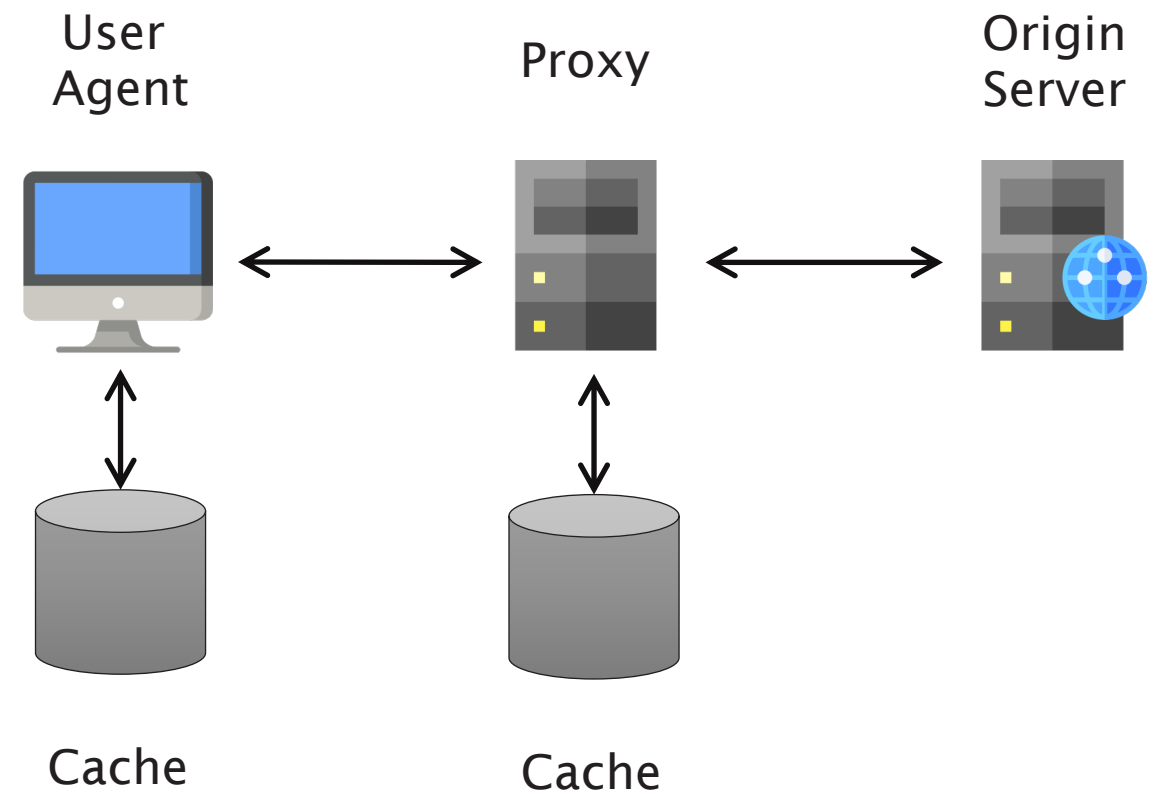
Recall the following REST constraint:  
response data must be labelled as  
cacheable or non-cacheable

In practice, both user agents and proxies  
may cache representations

- User agent private cache
- Proxy shared cache

How do we know what to cache?

How do we avoid stale data?





# Key concepts

## Freshness/staleness

- How long is it since the representation was generated by the origin server?
- Is there a lifetime (or expiration time) associated with the representation?

## Revalidation

- If a cache contains a stale representation, we need to refresh it by either:
  1. Checking that it is still the same as the representation served by the origin server, or
  2. Fetching a new (fresh) representation with which to replace it

# Cache headers

Age: *seconds*

- Sent by a proxy server to indicate how long ago a representation was generated by the origin server

Expires: *timestamp*

- Indicates when a representation should be considered stale

Cache-Control: *directive, directive, ...*

- Specifies caching behaviour, may be sent by user agents, proxies and origin servers

# Request Cache-Control: directives

no-cache

- Client will not accept cached representations that have not been validated

no-store

- No part of this request or response may be cached

max-age=*seconds*

- Client will not accept cached representations more than *seconds* old

max-stale=*seconds*

- Client will accept cached representations that are up to *seconds* past their freshness

min-fresh=*seconds*

- Client will accept cached representations that will remain fresh for at least *seconds*

no-transform

- Intermediary must not transform the representation (note: orthogonal to caching)

# Response Cache-Control: directives

no-cache

- Representations may not be reused without being revalidated

no-store

- No part of this request or response may be cached

must-revalidate

- Stale representations may not be reused without being revalidated

max-age=*seconds*

- Representation is to be considered stale after *seconds*

public

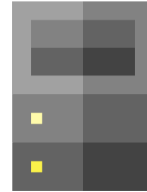
- Representation may be stored in a shared cache and used for other users

private

- Representation may not be used for other users

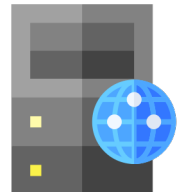


GET http://example.org/ HTTP/1.1  
Host: example.org

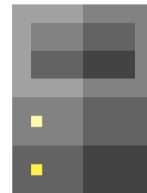


GET / HTTP/1.1  
Host: example.org

HTTP/1.1 200 OK  
Cache-Control: max-age=600  
ETag: "39d5-5943f8fdc2607"



HTTP/1.1 200 OK  
Cache-Control: max-age=600  
Age: 0  
ETag: "39d5-5943f8fdc2607"

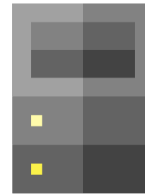


http://example.org/  
max-age=600, age=0,  
etag=39d5-5943f8fdc2607



GET http://example.org/ HTTP/1.1  
Host: example.org

HTTP/1.1 200 OK  
Cache-Control: max-age=600  
ETag: "39d5-5943f8fdc2607"  
Age: 120

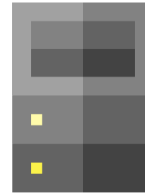


http://example.org/  
max-age=600, age=120,  
etag=39d5-5943f8fdc2607



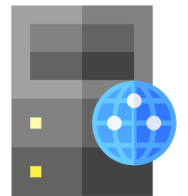
GET http://example.org/ HTTP/1.1  
Host: example.org

http://example.org/  
max-age=600, age=720,  
etag=39d5-5943f8fdc2607

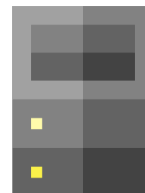


GET / HTTP/1.1  
Host: example.org  
If-None-Match: "39d5-5943f8fdc2607"

HTTP/1.1 200 OK  
Cache-Control: max-age=600  
ETag: "4b4f-77a6c3ab117a2"



HTTP/1.1 200 OK  
Cache-Control: max-age=600  
Age: 0  
ETag: "4b4f-77a6c3ab117a2"

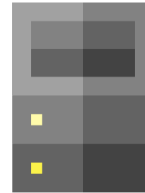


http://example.org/  
max-age=600, age=0,  
etag=4b4f-77a6c3ab117a2



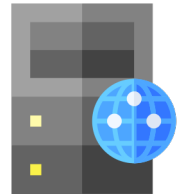
GET http://example.org/ HTTP/1.1  
Host: example.org

http://example.org/  
max-age=600, age=720,  
etag=39d5-5943f8fdc2607

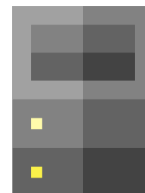


GET / HTTP/1.1  
Host: example.org  
If-None-Match: "39d5-5943f8fdc2607"

HTTP/1.1 304 Not Modified  
Cache-Control: max-age=600  
ETag: "39d5-5943f8fdc2607"



HTTP/1.1 200 OK  
Cache-Control: max-age=600  
Age: 0  
ETag: "39d5-5943f8fdc2607"



http://example.org/  
max-age=600, age=0,  
etag=39d5-5943f8fdc2607

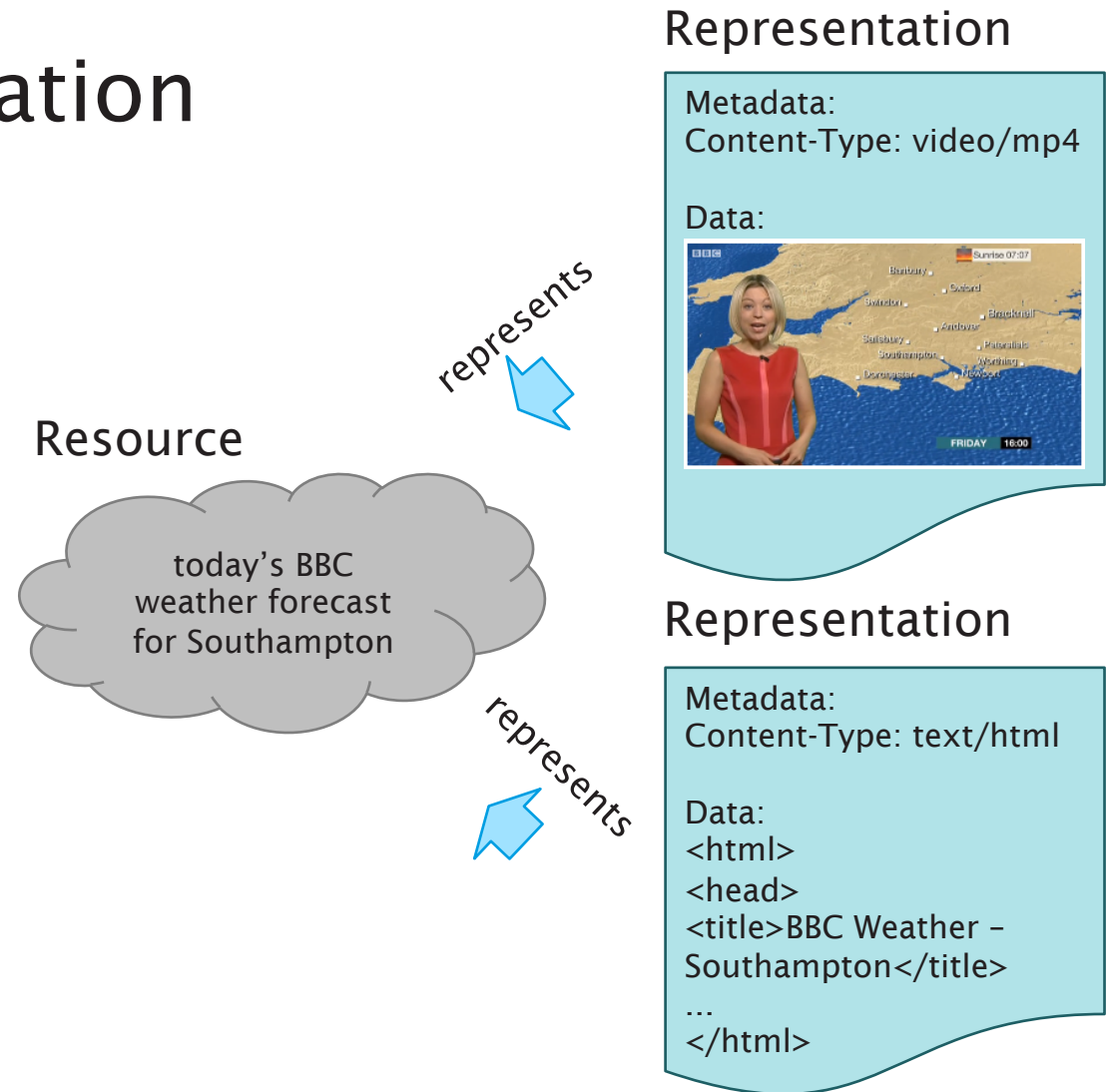


# Caching and content negotiation

We're caching representations, but there may be multiple representations of a given resource

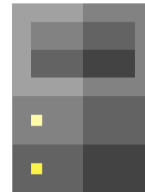
How do we distinguish between the different cached representations of a resource?

Cached representations record the values of the headers listed in the Vary: header



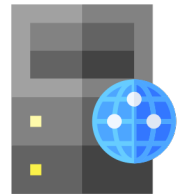


```
GET http://example.org/ HTTP/1.1  
Host: example.org  
Accept: text/html
```

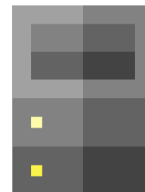


```
GET / HTTP/1.1  
Host: example.org  
Accept: text/html
```

```
HTTP/1.1 200 OK  
Location: http://example.org/  
Content-Type: text/html  
Vary: accept, accept-language
```



```
HTTP/1.1 200 OK  
Content-Type: text/html  
Vary: accept, accept-language
```

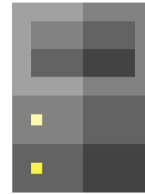


```
http://example.org/  
max-age=600, age=0, text/html
```



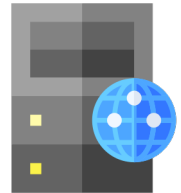
GET http://example.org/ HTTP/1.1  
Host: example.org  
Accept: text/plain

http://example.org/  
max-age=600, age=120, text/html

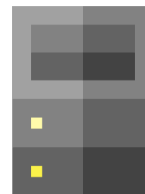


GET / HTTP/1.1  
Host: example.org  
Accept: text/plain

HTTP/1.1 200 OK  
Content-Type: text/plain  
Vary: accept, accept-language



HTTP/1.1 200 OK  
Content-Type: text/plain  
Vary: accept, accept-language



http://example.org/  
max-age=600, age=120, text/html  
max-age=600, age=0, text/plain

## Further reading

Fielding, R. and Reschke, J. (2014) *Hypertext Transfer Protocol (HTTP/1.1): Message Syntax and Routing*. RFC7230.

<https://tools.ietf.org/html/rfc7230>

Fielding, R. et al (2014) *Hypertext Transfer Protocol (HTTP/1.1): Caching*. RFC7234.

<https://tools.ietf.org/html/rfc7234>

Next lecture: Authentication and  
Authorisation